

Rec'd PCT/PTO 25 APR 2006 Docket No. 4126-4026  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Faircloth et al.

Serial No.: 10/531,533

Filed: April 15, 2005

For: New Antitumoral Compounds

Group Art Unit: tba

Examiner: tba



**RESPONSE TO "NOTIFICATION OF MISSING REQUIREMENTS"**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS dated September 28, 2005, Applicant(s) submit(s) herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- Copy of Notification of Missing Requirements (2 pages)
- Executed Declaration (10 pages)
- Preliminary Amendment (7 pages + duplicate page)
- Statements by deleted inventors (3 pages)
- Consent of Assignee to Correction of Inventorship (1 page)
- Petition for Extension of Time (2 pages + duplicate page)
- Please charge the required fee of \$130 to deposit account no. 13-4500, Order No. 4126-4026.
- The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4126-4026. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

05/02/2006 GFREY1 00000135 134500 10531533  
01 FC:1617 130.00 DA

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: April 25, 2006

By:

  
Kenneth H. Sonnenfeld / Michael A. Willis  
Reg. No. 33,285 / Reg. No. 53,913

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1AP7 Rec'd PC 25 APR 2006

Docket No. 4126-4026



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Applicant(s): Glynn T. Faircloth et al.

Group Art Unit: tba

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EXPRESS MAIL CERTIFICATE

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: EV 826870505 US

Date of Deposit: April 25, 2006

I hereby certify that the following attached paper(s) and/or fee

1. Copy of Notification of Missing Requirements (2 pages)
2. Executed Declaration (10 pages)
3. Preliminary Amendment (7 pages + duplicate page)
4. Statements by Deleted Inventors (3 pages)
5. Consent of Assignee to Correction of Inventorship (1 page)
6. Petition For Extension of Time (2 pages + duplicate page)
7. return postcard
8. Response to Notification of Missing Requirements (1 page + duplicate)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Michael A. Willis

(Typed or printed name of person mailing paper(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

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Pct



## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/531,533	Glynn Thomas Faircloth	4126-4026

INTERNATIONAL APPLICATION NO.

PCT/US03/33207

I.A. FILING DATE	PRIORITY DATE
10/20/2003	02/26/2003

Kenneth H. Sonnenfeld  
 Morgan & Finnegan  
 3 World Financial Center  
 New York, NY 10281-2101

## CONFIRMATION NO. 1346

## 371 FORMALITIES LETTER



\*OC000000017059220\*

Date Mailed: 09/28/2005

By Am

Case 4126-402 (Atty mfw)  
 Due Date 11-2-05 executed/Dec/  
 Statutory Date 27-2-06 for

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/15/2005
- Request for Immediate Examination filed on 04/15/2005
- U.S. Basic National Fees filed on 04/15/2005
- Priority Documents filed on 04/15/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity: 10/04/05 PM 2:34

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

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Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/531,533	PCT/US03/33207	4126-4026

FORM PCT/DO/EO/905 (371 Formalities Notice)